

04-00434

# TENNESSEE REGULATORY AUTHORITY



Pat Miller, Chairman  
Deborah Taylor Tate, Director  
Sara Kyle, Director  
Ron Jones, Director

RECEIVED  
2005 MAR 22 AM 10:30  
T.R.A. DOCKET ROOM

460 James Robertson Parkway  
Nashville, Tennessee 37243-0505

March 16, 2005

Mr. Charles Pickney, Jr.  
Tennessee Wastewater Systems, Inc.  
7638 River Road Pike  
Nashville, TN 37209-5733

RE: Wastewater Escrow Accounts

Dear Mr. Pickney:

To further the Staff's understanding of how prepared the various wastewater companies are to maintain service to their customers in the event of an emergency, please provide the information requested on the attached Staff Data Request.

It is requested that this information be provided no later than Tuesday, March 29, 2005. Should you have questions regarding any item number on the attached request, please contact Pat Murphy at (615) 741-2904 (extension 178) for clarification before responding.

Sincerely,

Darlene Standley  
Chief  
Utilities Division

Enclosure  
Pm05-14

ALL WASTEWATER UTILITIES

STAFF DATA REQUEST – MARCH 16, 2005

When responding to the following questions, please repeat the question number and question, followed by the Company's response.

1. Does your company maintain an escrow account to appropriate funds dedicated to system repairs or operations in the event of an emergency? Does the company maintain an escrow account for any other purpose? If so, when was this account(s) first established?
2. If your company does not have an escrow account, please explain how the company would fund extensive system repairs or operations in the event of an emergency.
3. If an escrow account(s) exists, please answer the following questions:
  - a. Does the company have a copy of the legal document establishing this account?
  - b. Is the account separately recorded on the company's financial statements?
  - c. What is the current balance in this account?
  - d. For what purposes can funds from this account be used?
  - e. Has your company had occasion to use funds from this account over the last five (5) years? If yes, describe the event(s) that necessitated use of the funds.
  - f. What internal procedures are in place for deposits and disbursements to this account?
  - g. Who is (are) the person(s) responsible for carrying out these procedures?
  - h. Who is the person that is authorized to approve disbursements?
  - i. Does the company keep detailed records of deposits to and disbursements from this account?
  - j. How is this account funded?
  - k. What is the account number and where on the company's annual report to the TRA is the account disclosed?

Mr. James E. Clausel  
Aqua Water Company  
706 Main Street  
Savannah, TN 38372

Mr. William W. Geary  
Hickory Star Water Company  
c/o Carlsberg Management Company  
2335 Sanders Road  
Los Angeles, CA 90045

Mr. Robert Cochran  
Shaeffer International, LLC  
800 Roosevelt Road  
Bldg. "B" #214  
Glen Ellyn, IL 60137

c:

Ms. Angela Baxter  
Cartwright Creek, LLC  
2033 Richard Jones Road  
Nashville, TN 37215

Mr. Harold G. King, Jr.  
Foothills Utilities, Inc.  
261 Hannum Street  
P. O. Box 307  
Alcoa, TN 37701

Mr. Jack Strickland  
HC Sewerage, LLC  
4718 Lake Park Drive, Suite 3  
Johnson City, TN 37615

Mr. Jeffrey W. Cox, Sr.  
IRM Utility, Inc  
P. O. Box 642  
White Pine, TN 37890

Mr. John Powell  
Kings Chapel Capacity, LLC  
1413 Plymouth Drive  
Brentwood, TN 37027

Mr. Tyler Ring  
Lynwood Utilities  
5250 Virginia Way  
Suite 100  
Brentwood, TN 37027

Ms. Lisa Thomas  
Shiloh Utilities  
P. O. Box 1027  
Savannah, TN 38372

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Tennessee Wastewater Systems, Inc.  
7638 River Road Pike  
Nashville, TN 37209-5733